



# Audit and Standards Committee Forward Plan June 2016 - May 2017

If you would like to know more about our work programme, please get in touch with Carol Bloxham, Scrutiny Support Officer, 01785 276155 or [carol.bloxham@staffordshire.gov.uk](mailto:carol.bloxham@staffordshire.gov.uk)

Item and lead officer	Date of meeting	Detail	Action/Outcome
Information Governance Head of Information Governance	<b>21 March 2016</b>	Annual Report	Members received the Information Governance annual report and noted the work ongoing. An increase in cyber-attacks was investigated where necessary.
Protecting the Public Purse Chief Internal Auditor		Fraud Briefing/ Awareness Training	Members received a presentation: Protecting the Public Purse raising awareness of fraud, how it can arise and why it is important to mitigate ongoing threat.
Members Standards Director of Strategy, Governance and Change		Annual Statement	Members received the report in respect of Complaints against members of the county council.
External Audit Plan 2015-16 Ernst & Young			Members approved the External Audit Plan 2015-16; agreed that a letter should be sent to the Leader of the County Council recommending the appointment of a Cabinet Member without portfolio to act as a gatekeeper for the County Councils finances; and asked for arrangements for scrutinising Entrust to be investigated.
Staffs Pension Fund – External Audit Plan 2015-16 Ernst & Young			Members approved the Pensions Fund External Audit Plan 2015-16

Item and lead officer	Date of meeting	Detail	Action/Outcome
External Audit Ernst & Young	<b>27 June 2016</b>	Progress Report	
Internal Audit Chief Internal Auditor		<ul style="list-style-type: none"> <li>• Outturn Report 2015-16</li> <li>• Strategy and Plan 2016-17</li> <li>• Charter</li> </ul>	
Code of Corporate Governance  Director of Strategy, Governance and Change <i>and</i> Director of Finance and Resources		Update on action plan	
Better Care Fund (BCF) Director for Health and Care		Management of Risk	
Politically Restricted Posts Director of Strategy, Governance and Change		Approval to amending the Council's constitution	
Annual Governance Statement  Director of Strategy, Governance and Change <i>and</i> Director of Finance and Resources	<b>12 September 2016</b>		
Statement of Accounts 2015-16 Chief Accountant		<ul style="list-style-type: none"> <li>• County Council</li> <li>• Pension Fund</li> </ul>	

Item and lead officer	Date of meeting	Detail	Action/Outcome
Report to those charged with Governance  Report of Ernst & Young			
Code of Corporate Governance  Director of Strategy, Governance and Change <i>and</i> Director of Finance and Resources		To receive the new Code	
SSOTP  Head of Financial Strategy and Support		Management of Risk	
Health, Safety and Wellbeing Performance  Health, Safety & Wellbeing Manager	<b>5 December 2016</b>	Annual Report	
Annual Audit Letter 2015-16  Report of Ernst & Young			
Internal Audit Plan 2016-17  Chief Internal Auditor		Update	
National Fraud Initiative  Chief Internal Auditor		Update	
Risk Management  Chief Internal Auditor		Briefing/Awareness Training	
Fraud Briefing  Counter Fraud Manager <i>and</i>		Briefing/Awareness Training	

Item and lead officer	Date of meeting	Detail	Action/Outcome
Chief Internal Auditor			
Preparing for Self Assessment - evaluate the effectiveness of Audit and Standards Committee  Chief Internal Auditor and Head of Democracy	<b>March 2017</b>		
Internal Audit Reports  Chief Internal Auditor	All meetings as required.	Internal Audit Reports: <ul style="list-style-type: none"> <li>• High Risk reviews</li> <li>• Limited Assurance Reviews</li> <li>• Special Investigations</li> </ul>	Members discussed the detail of three limited assurance reviews and were assured that in each case satisfactory progress was being made to rigorously address the areas of risk identified by Internal Audit.
Work programme for the Audit and Standards Committee	All meetings		
Proposed changes to the Constitution	As required		
<b>Other items:</b>		<ul style="list-style-type: none"> <li>• Auditing in a Commissioning environment (cybercrime)</li> <li>• External Assessment of Internal Audit</li> <li>• Local Public Audit - update</li> <li>• Procurement Regulations and Financial regulations.</li> <li>• Penda property partnership</li> </ul>	A development day on Penda Property Partnership will be offered to all members

**Membership  
County Councillors**

Mike Worthington

Martyn Tittley (Chairman)  
Mike Davis (Vice Chairman)  
Derek Davies OBE  
William Day  
Brian Edwards  
Michael Greateorex  
Derrick Huckfield  
Kevin Jackson  
Philip Jones  
Robert Marshall  
David Smith  
Alison Spicer  
Diane Todd

Caroline Wood

**Calendar of Committee Meetings**

27 June 2016  
12 September 2016  
5 December 2016 2pm  
13 March 2017

Meetings usually take place at County Buildings, Martin Street,  
Stafford ST16 2LH